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COMMISSIONER FOR PATENTS  
BOX NEW PAT APP  
WASHINGTON, D. C. 20231

Re: NEW PATENT APPLICATION  
Attorney Docket No.: 4029  
Inventor: Michio OSADA et al.  
Title: DIE USED FOR RESIN-SEALING AND  
MOLDING AN ELECTRONIC COMPONENT

Dear Sir:

- 1) I am enclosing a NEW PATENT APPLICATION comprising:
- a) 12 pages of specification; 8 claims, 1 independent;  
2 Figs. on 2 sheets of formal drawings;  
an abstract;  
1 return receipt postcard;
  - b) Declaration, Power of Attorney;
  - c) Form PTO-2038 (\$750.00) to cover the filing fee **and** the fee for Assignment Recordation. Any deficiency should be charged to Deposit Account No.: 50-0507;
  - d) An Assignment Recordation Form Cover Sheet and one copy of Assignment for Recordal.
- 2) The Priority of an earlier application:  
No. 11-317598, filed on November 9, 1999 in Japan  
is hereby expressly claimed under 35 U.S.C. 119. Please acknowledge the priority claim.
- 3) The Priority Document will follow.
- 4) All correspondence for this application is to be sent to:  
**CUSTOMER NO. 021553.**

Very truly yours,

Walter F. Fasse  
Patent Attorney  
Reg. No.: 36132

WFF:ar/4029  
Encls.: as listed above

11/02/00  
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09/705237

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NOVEMBER 02 2000

Docket #111029  
INV. Michigan CSEA LLC

TITLE OF THE INVENTION

Die Used for Resin-Sealing and Molding an Electronic Component

BACKGROUND OF THE INVENTION

Field of the Invention

5        The present invention relates to an improvement of a die used for sealing an electronic component such as an integrated circuit (IC) with resin to mold the same.

Description of the Background Art

10      Conventionally, a transfer mold method is employed to seal with resin an electronic component mounted for example to a lead frame, to mold the electronic component. This method uses a resin-sealing and molding die formed of a top, fixed die and a bottom, movable die (hereinafter referred to as "top and bottom dies") and is typically conducted as described below:

15      The top and bottom dies are previously heated by heating means to have a temperature at which resin is molded, and the top and bottom dies are opened. Then, a lead frame with an electronic component mounted thereto is fed and set in the bottom die's parting-line (P.L) plane (a die plane) at a predetermined position and a resin material is fed into a pot in the bottom die. Then, the bottom, movable die is moved upward and the both dies are closed, when the electronic component and the lead frame therearound are fit into upper and lower cavities respectively provided in the top and bottom dies on their respective one sides and facing each other and the resin material in the pot is heated and gradually melted. Then, the heated and melted resin material in the pot is introduced through a resin channel and thus injected into and fills the both of the upper and lower cavities and the electronic component and lead frame therearound in the upper and lower cavities are thus shielded with the resin and thus molded internal to a resin shielded body to correspond to the shape of the upper and lower cavities.

20      As such, after the passage of a period of time required for curing the melted resin material, the both dies are opened and an ejector pin provided in the both dies is employed to release the resin-molded body and lead frame in the upper and lower cavities and the resin cured in the resin channel.

The above, conventional die typically has a surface plated with hard chromium (HCr). As such, the upper and lower cavities both have a surface highly adhesive to a resin molded body. As such an ejector pin is not sufficient to eject and thus release the resin molded body from the cavities.

5 Furthermore, a resin molded body (a product) readily chips if an ejector pin is used to eject and thus release the resin molded body from the upper and lower cavities.

10 Furthermore, melted resin material readily enters the P. L plane of the dies and resin flash (the resin cured) remains and thus readily adheres. The adhering resin flash affects product size and the like and also enters the resin molded body to prevent uniform production of products.

15 Furthermore, if resin flash adheres to an air vent allowing the die cavity to externally communicate, the die cavity would be tightly sealed and cannot exhaust its internal air through the air vent. As such, the air in the die cavity is introduced into the resin molded body and the resin molded body would have a portion which is not filled with the resin.

20 Furthermore, to prevent resin flash from adhering a resin material is compounded with an increased amount of a mold release agent, although the mold release agent would degrade the adhesion between a surface of a lead frame made of metal and a resin molded body (the resin cured).

As such, there can hardly be obtained a high quality product (resin-molded body) that is highly reliable.

25 Furthermore, the die must have its surface frequently cleaned to remove resin flash remaining and thus adhering to the die surface. This would make the die surface cleaning difficult and time-consuming, resulting in an increased die molding cycle time.

30 Furthermore, in recent years with the necessity of changing to high-density surface-mounting, such mounting requires highly precise alignment and a product (a resin molded body) to be mounted is required to have a uniform size (a dimension with high precision). To provide the product with a uniform size, consideration is given to minimizing the contraction of a resin molded body when it is molded. For example, consideration is given to increasing the content of a filler such as hard powdery silica compounded

in a resin material, to reduce the contraction thereof. In this technique, however, the hard powdery silica rapidly abrades the die surface and the die's durability degrades and the die's molding frequency also reduces. As such, the die must be replaced with a new die in a short period of time.

5

As such, the product is produced at a low level of productivity.

#### SUMMARY OF THE INVENTION

The present invention contemplates a die used for sealing an electronic component with resin to mold the electronic component, capable of improving the releaseability of a resin molded body with respect to the die to release the body from the die more efficiently.

The present invention also contemplates a die used for sealing an electronic component with resin to mold the electronic component, capable of providing a high quality product that is highly reliable.

The present invention also contemplates a die used for sealing an electronic component with resin to mold the electronic component, capable of enhancing the productivity for the product.

To achieve the above objects, the present invention provides the die used for sealing the electronic component with resin to mold the electronic component, having a coating layer of nickel-tungsten alloy on at least a surface thereof contacting a melted resin material when the resin is molded.

As such, there can be provided better releaseability of a resin-molded body with respect to the die to efficiently release the resin molded body.

The present invention in one embodiment provides the die used for sealing the electronic component with resin to mold the electronic component, wherein the coating layer is a plating layer formed of a nickel-tungsten alloy containing at least 20% by weight of tungsten.

The nickel-tungsten alloy (NiW) plating layer provided covering at least a surface contacting a melted resin material can provide better releaseability of a resin-molded body (or cured resin) than a surface processed by plating with hard chromium (HCr).

As such, the resin molded body can be efficiently ejected and released from the die cavities and the die can also be efficiently prevented from having resin flash (cured resin) adhering to its P. L plane or the like.

Furthermore, the surface provided with the NiW layer is greater in hardness than a surface processed with HCr. Thus, abrading and damaging the die can be minimized to enhance the durability of the die.

Consequently, the present invention can effectively provide a die used for sealing an electronic component with resin to mold the electronic component that is capable of providing a high-quality product that is highly reliable at a high level of productivity.

The present invention in one embodiment provides the coating layer (A) having a tungsten content of 20% by weight for the following reasons:

If a basic bathing of a nickel-tungsten alloy plating has a tungsten content below 20% by weight, e.g., 10% by weight, it would be a bathing which has a high temperature of 70 to 95°C and is ammonium-alkaline with pH 8 to 9. This high-temperature bathing contains ammonium significantly volatile and it can thus hardly be controlled. The ammonium also smells bad and thus degrades the working environment of interest.

Furthermore, if coating layer (A) has a tungsten content of approximately 10% by weight then electrolysis rapidly increases the layer's electrostatic stress also decreases the layer's flexibility. This results in a crack in a surface of the coating layer.

Furthermore, while the nickel-tungsten alloy plating as it is has a hardness of approximately Hv. 600, the plating heated and thus cured can be as hard as Hv. 1350. In contrast, if a nickel-tungsten alloy plating with a tungsten content of approximately 10% by weight is heated to be cured, it does not cure in separation and can only have a hardness of approximately Hv. 600, the hardness of the exact plating which is no further processed.

Furthermore, a nickel-tungsten alloy plating containing no less than 20% by weight of tungsten is advantageous in that it is not corroded by concentrated hydrochloric acid, sulfuric acid, hydrofluoric acid, or nitric acid.

It should be noted, however, that tungsten is not separated from a solution with its ions existing independently and an induced eutectoid reaction occurs. To allow tungsten to be separated through such an induced eutectoid reaction, tungsten is contained with an upper limit of

approximately 60% by weight. As such, coating layer (A) provided on the surface that a melted resin material contacts in sealing and molding, preferably has a tungsten content of no more than 60% by weight.

Furthermore, coating layer (A) is preferably 1 $\mu\text{m}$  to 20  $\mu\text{m}$  in thickness, since coating layer (A) exceeding 20  $\mu\text{m}$  in thickness has a spherical crystal and can thus not have a reliable surface and coating layer (A) less than 1  $\mu\text{m}$  in thickness is too thin to effectively plate a surface.

The present invention can be applied to a resin-sealing and molding die for example including a fixed die, a movable die arranged opposite to the fixed die, upper and lower cavities provided in the fixed die and the movable die in their respective die planes to face each other along a parting-line plane of the fixed die and the movable die, for molding the resin, a concavity receiving and setting a support having the electronic component mounted thereto, a pot arranged at one of the fixed die and the movable die for supplying the resin material, a plunger fit internal to the pot for applying pressure to the resin, and a resin channel to allow the pot and the upper cavity to communicate with each other for transporting the melted resin material, wherein the coating layer is provided on an internal surface of the upper and lower cavities, an internal surface of the resin channel, an internal surface of the concavity, an internal surface of the pot, the parting-line plane of each of the fixed die and the movable die, and an external surface of the plunger.

Furthermore, if the die further includes an ejector pin ejecting and releasing from the upper and lower cavities a resin-molded body molded in the upper and lower cavities and an ejector pin fitting hole fitting the ejector pin therein, preferably the ejector pin has an external surface provided with the coating layer of nickel-tungsten alloy and/or the ejector pin fitting hole has an internal surface provided with the coating layer of nickel-tungsten alloy.

The foregoing and other objects, features, aspects and advantages of the present invention will become more apparent from the following detailed description of the present invention when taken in conjunction with the accompanying drawings.

## BRIEF DESCRIPTION OF THE DRAWINGS

In the drawings:

Fig. 1 is a schematic, partially notched, vertical cross section of a die used for sealing an electronic component with resin to mold the electronic component in one embodiment of the present invention; and

Fig. 2 is an enlarged, schematic, partially noted, vertical cross section of a main portion of the Fig. 1 die.

## DESCRIPTION OF THE PREFERRED EMBODIMENTS

Hereinafter, one embodiment of the present invention will be described in detail with reference to Figs. 1 and 2.

The present invention in one embodiment provides a die formed of a fixed, top die 1, a movable, bottom die 2 arranged opposite to top die 1, upper and lower cavities 3 and 4 provided in dies 1 and 2 in their respective die planes to face each other along the P. L plane of dies 1 and 2 for molding resin, a concavity 7 receiving and setting a lead frame 6 having an electronic component 5 mounted thereto, a pot 8 arranged in bottom die 2 for supplying a resin material, a plunger 9 fit internal to pot 8 for applying pressure to resin, a resin channel 10 to allow pot 8 and upper cavity 3 to communicate with each other for transporting a melted resin material, heating means 11 and 12 provided for dies 1 and 2, respectively, ejector pins 14 and 15 ejecting and thus releasing from upper and lower cavities 3 and 4 a resin-molded body 13 molded in upper and lower cavities 3 and 4, ejector pin fitting holes 16 and 17 fitting ejector pins 14 and 15, and an air vent 18 allowing upper cavity 8 to communicate external to the die.

Furthermore, resin channel 10 is configured for example of a cull 19 and a runner and gate 20 provided in the top die opposite to pot 8 for dispensing melted resin.

Furthermore, the die has a portion, as required, electroplated through neutral-bathing to have a plating layer A of nickel-tungsten alloy having a thickness as required, as shown in Fig. 2.

Plating layer A of nickel tungsten alloy is provided for example on the upper and lower cavities 3 and 4 internal surfaces, the resin channel 10 (cull 19 and runner and gate 20) internal surface, the air vent 18 surface,

the concavity 7 surface, the pot 8 internal surface, the die's P. L plane, the plunger 9 external surface, the ejector pins 14 and 15 external surfaces, and the ejector pin fitting holes 16 and 17 internal surfaces.

As such, initially, dies 1 and 2 are heated by heating means 11 and 12 to have a temperature at which resin is molded and also lead frame 6 having electronic component 5 molded thereto is fed to bottom die 2 and set at a predetermined position thereof and a resin material R is supplied into pot 8, and bottom die 2 is moved upward to close dies 1 and 2. Thus, upper and lower cavities 3 and 4 have electronic component 5 and lead frame 6 therearound fit therein.

Then, plunger 9 can apply pressure to the resin material heated and melted in pot 8, to inject the melted resin material into upper and lower cavities 3 and 4 and thus fill the cavities with the melted resin material and also to seal and thus mold electronic component 5 and lead frame 6 therearound in upper and lower cavities 3 and 4 to mold electronic component 5 and lead frame 6 therearound internal to resin-molded body 13 corresponding in geometry to upper and lower cavities 3 and 4.

After the passage of a period of time required for curing the melted resin material, dies 1 and 2 are opened an ejector pins 14 and 15 are used to eject and thus release resin molded body 13 from upper and lower cavities 3 and 4.

There will now be presented a condition for molding a resin and a result of an experiment.

(1) Condition for Molding Resin

- |      |                        |   |
|------|------------------------|---|
| i.   | Die Temperature        | 180°C   |
| ii.  | Injection Pressure     | 9.807 MPa   |
| iii. | Injection Rate         | 21 mm/5 sec   |
| iv.  | Resin Material         | epoxy resin (with no mold release agent added)                                    |
| v.   | Die Material           | SKD-11  |
| vi.  | Die Surface Processing | nickel-tungsten alloy plating (NiW),<br>compared with hard chromium plating (HCr) |

5

vii. Thickness of the Plating Layer 5  $\mu\text{m}$

Note that the nickel-tungsten alloy plating (NiW) had a variable tungsten content of 20% by weight, 40% by weight and 60% by weight for a total of three different tungsten contents.

10

Table 1

Result of experiment presenting initial, mold release force value

Surface processing	W (% by weight)	Initial, mold release force (unit: Pa)
NiW20	20	0 to $1.961 \times 10^3$
NiW40	40	0 to $9.807 \times 10^2$
NiW60	60	0 to $9.807 \times 10^2$
HCr		$3.923 \times 10^5$ to $5.884 \times 10^5$

15

Note that an initial, mold release force represents a minimal ejection force required to eject and thus release a resin-molded body from a die cavity.

More specifically, as presented in Table 1, for a surface processed by plating with hard chromium (HCr) an initial, mold release force of  $3.923 \times 10^5$  to  $5.884 \times 10^5$  Pa is required, whereas for a surface processed by plating with various nickel-tungsten alloys (NiW) an initial, mold release force of a significantly low value is only required. For example, for a surface processed by NiW40 with 40% by weight of W, an initial, mold release force of 0 to  $9.807 \times 10^2$  Pa was required.

As such, if the die of the present embodiment is used for resin-sealing and molding, with a surface processed with NiW and thus allowing an initial, mold release force of an extremely small value, resin-molded body 13 can be efficiently released from die cavities 3 and 4 and the die can also be efficiently prevented from having resin flash adhering to its P.L plane

20

25

and the like.

Furthermore, since resin molded body 13 can be efficiently released from die cavities 3 and 4 and resin flash remaining and thus adhering to the cavities can be removed readily and in a short period of time, a product can be produced at a high level of productivity.

Furthermore, since the die can be efficiently prevented from having resin flash adhering to its P.L plane and the like the aforementioned problems attributed to such resin flash (the resin flash affecting a size of a product and introduced into the product) can be resolved to provide a high quality product that is highly reliable.

Note that if a die surface is provided with a NiW layer a resin material used for resin-sealing and molding is not required to have a mold release agent compounded therewith.

### (3) Estimation of Hardness

Table 2 represents a result of an experiment for microVickers hardness to estimate the hardness of a processed surface of each die that is obtained from the above experiment.

Table 2

Result of experiment for microVickers hardness (Hv. 10g)

surface processing	W (% by weight)	microVickers hardness
NiW20	20	1250 to 1350
NiW40	40	1300 to 1400
NiW60	60	1300 to 1400
HCr		1000 to 1100

Note that microVickers hardness is an index representing a hardness of a die surface.

More specifically, as presented in Table 2, a surface processed with HCr has a microVickers hardness of 1000 to 1100, whereas a surface processed with various NiW has a higher microVickers hardness. For example, a surface processed with NiW with 40% by weight of W has a

microVickers hardness of 1300 to 1400.

As such, if the die of the present embodiment is used for resin-sealing and molding, it has a level of durability higher than a surface processed with HCr. As such, the die can be used for molding more frequently to produce products at a high level of productivity.

Note that in the above embodiment, simply providing a nickel-tungsten alloy plating layer on the resin-sealing and molding die on at least a surface contacting a melted resin material, can resolve a disadvantage attributed to resin adhering to the die, to achieve an effect similar to the above effect for example with respect to the improvement in the releaseability of a resin-molded body.

For example, plating layer A of nickel-tungsten alloy can be applied at least on the upper and lower cavities 3 and 4 internal surfaces.

Furthermore, if the pot 8 internal surface and the plunger 9 external surface are plated with nickel-tungsten alloy, there can be provided better releaseability between a surface processed with NiW and resin flash and the pot 8 internal surface and the plunger 9 external surface can thus be efficiently prevented from such resin flash from adhering thereto and the plunger can also be efficiently prevented from sliding improperly due to such resin flash.

Furthermore, if ejector pins 14 and 15 and the fitting holes 16 and 17 internal surfaces are plated with nickel-tungsten alloy, there can be provided better releaseability between a surface processed with NiW and resin flash and ejector pins 14 and 15 and the fitting holes 16 and 17 internal surfaces can thus be efficiently prevented from such resin flash adhering thereto and ejector pins 14 and 15 can also be efficiently prevented from sliding improperly due to such resin flash.

Furthermore in the above embodiment the ejector pin can be dispensed with. In this example, as seen in the example with the die provided with an ejector pin, there can be provided better releaseability between a surface processed with NiW and a resin molded body or the like to readily extract the resin molded body from the die cavities. Thus, a high quality product that is highly reliable can be produced at a high level of

productivity.

While the embodiment uses a thermosetting resin material, it may alternatively use a thermoplastic resin material and various types of resin material.

5 While in the embodiment a lead frame (a substrate made of metal) is employed, the present invention is applicable to a printed circuit board referred to as a so-called PC board of plastic and substrates of various types of material.

10 Furthermore, at a temperature at which resin is molded, a surface processed with HCr tends to have a dropping hardness, whereas a surface processed with NiW has a hardness with a low level of temperature dependency and it thus has a stable hardness.

15 Furthermore, the surfaces were subjected to a scratching test, and a surface processed with HCr is readily abraded to expose a mother material of the die, whereas a surface processed with NiW, having a hard, intermediate diffusion layer, is not abraded to expose a mother material of the die so much as that processed with HCr.

20 Furthermore, a surface processed with HCr readily cracks while the surface is thus processed, and in resin-molding, resin enters and cures for example in an undercut crack to degrade the releaseability of a resin-molded body.

25 In contrast, a surface processed with NiW hardly cracks and thus provides superior releaseability of a resin-molded body to a surface processed with HCr.

Furthermore, a surface processed with NiW is higher in uniform electroconductivity than a surface processed with HCr. As such there can be reliably provided a plating layer having a uniform thickness for example of 5  $\mu\text{m}$ .

30 Furthermore, while the embodiment employs a NiW layer having a thickness of 5  $\mu\text{m}$ , in accordance with the purpose of the present invention it can employ a NiW layer having a thickness no more than or no less than 5  $\mu\text{m}$ .

Furthermore, to stabilize a NiW layer provided on a die surface the

NiW layer provided on the die surface (a die material) through electroplating is heated in the air and thus cured, although the NiW layer thus processed readily has a surface with an oxide film thereon and this requires a step of removing the oxide film.

5        However, if the heating and curing process is provided in a vacuum and gaseous nitrogen is also used to cool (the die material provided with the NiW layer) the die surface can be provided with a reliable NiW layer to eliminate the step of removing the oxide film.

10      Furthermore, if the resin-sealing and molding die is frequently used for molding and its surface is abraded, the surface can again be provided with a NiW layer and the die can also again provide resin-sealing and molding.

15      Although the present invention has been described and illustrated in detail, it is clearly understood that the same is by way of illustration and example only and is not to be taken by way of limitation, the spirit and scope of the present invention being limited only by the terms of the appended claims.

WHAT IS CLAIMED IS:

1. A die used for sealing and molding an electronic component with a resin material, having a coating layer of nickel-tungsten alloy on at least a surface thereof contacting a melted resin material when the resin is molded.
2. The die of claim 1, wherein said coating layer is a plating layer formed of a nickel-tungsten alloy containing at least 20% by weight of tungsten.
3. The die of claim 2, wherein said coating layer has a tungsten content of at most 60% by weight.
4. The die of claim 1, wherein said coating layer is 1 $\mu\text{m}$  to 20  $\mu\text{m}$  in thickness.
5. The die of claim 1, comprising:
  - a fixed die;
  - a movable die arranged opposite to the fixed die;
  - upper and lower cavities provided in said fixed die and said movable die in their respective die planes to face each other along a parting-line plane of said fixed die and said movable die, for molding the resin;
  - 10 a concavity receiving and setting a support having the electronic component mounted thereto;
  - a pot arranged at one of said fixed die and said movable die for supplying the resin material;
  - 15 a plunger fit internal to the pot for applying pressure to the resin; and
  - a resin channel to allow said pot and said upper cavity to communicate with each other for transporting the melted resin material,
  - wherein said coating layer is provided on an internal surface of said upper and lower cavities, an internal surface of said resin channel, an internal surface of said concavity, an internal surface of said pot, the

parting-line plane of each of said fixed die and said movable die, and an external surface of said plunger.

6. The die of claim 5, further comprising an ejector pin ejecting and releasing from said upper and lower cavities a resin-molded body molded in said upper and lower cavities, and an ejector pin fitting hole fitting said ejector pin therein, wherein said ejector pin has an external surface provided with said coating layer and/or said ejector pin fitting hole has an internal surface provided with said coating layer.

5 7. The die of claim 5, wherein said resin channel includes a cull and a runner and gate arranged opposite to said pot for dispensing the melting resin, said cull and said runner and gate having an internal surface provided with said coating layer.

8. The die of claim 5, further comprising an air vent allowing said upper cavity to communicate external to the die, said air vent having a surface provided with said coating layer.

## ABSTRACT OF THE DISCLOSURE

A die used for molding an electronic component with resin includes a fixed, top die and a movable, bottom die and at least has a surface contacting a melted resin material that is electroplated with nickel-tungsten alloy.

- 5 This plating can provide releaseability of a resin-molded body and the like superior to hard-chromium plating to allow an ejector pin to efficiently eject and release the resin-molded body from the die.

FIG. 1

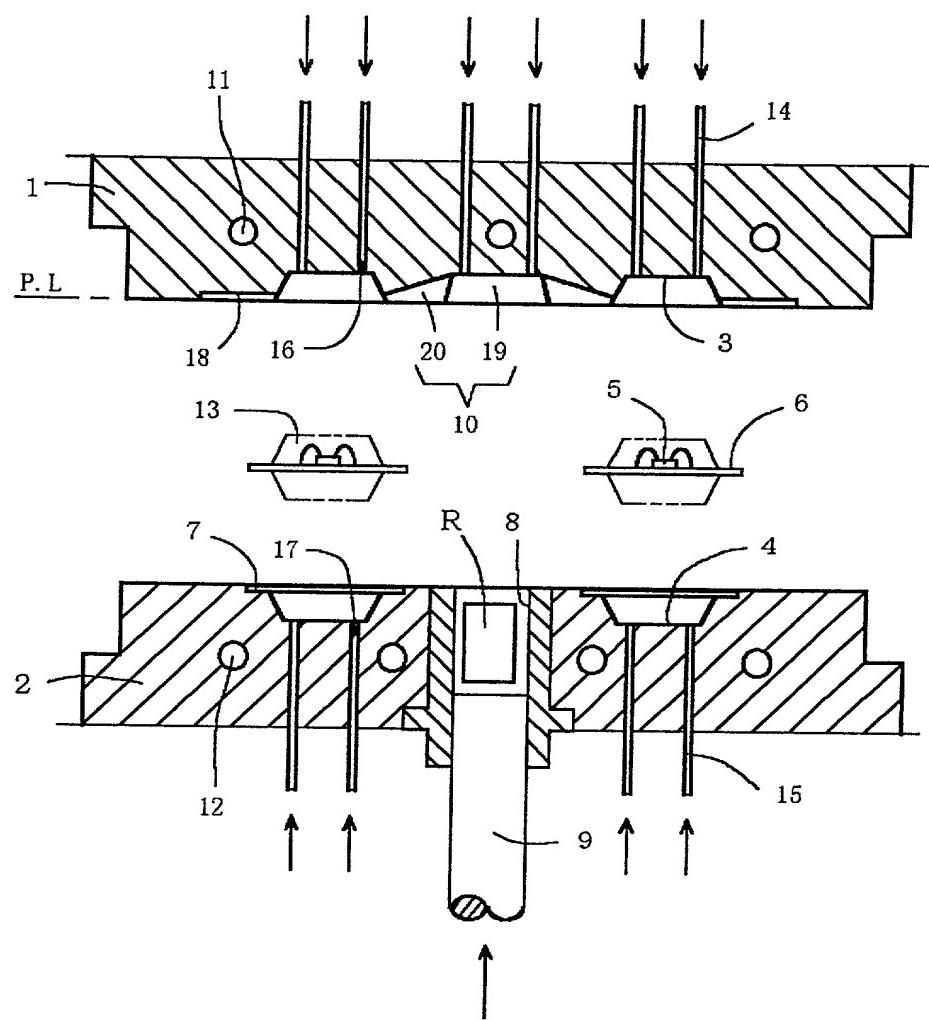
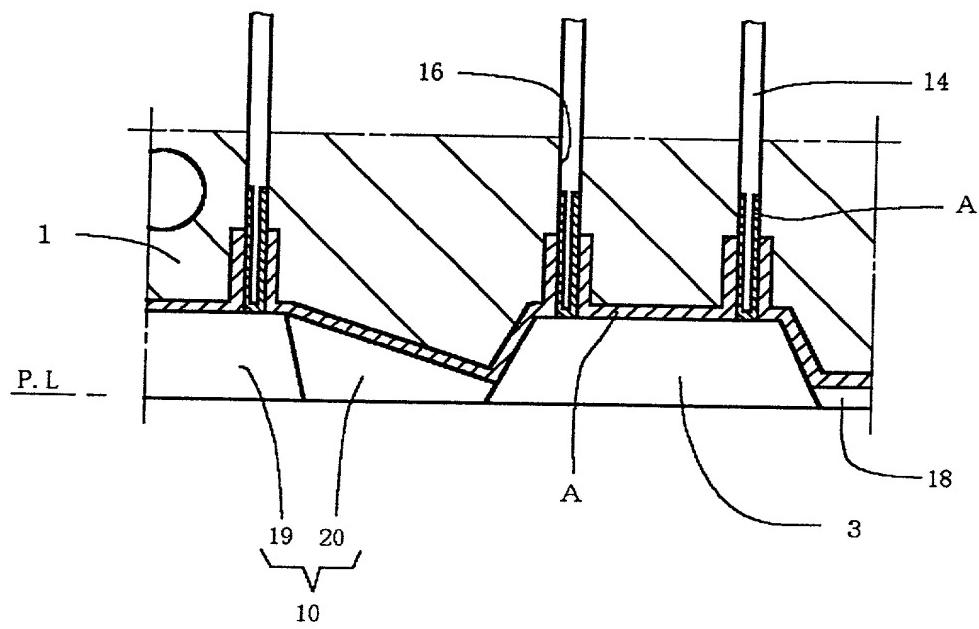


FIG. 2



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PTO/SB/106 (8-96)

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## Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

### Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Die Used for Resin-Sealing and

Molding an Electronic Component

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

一月一日に提出され、米国出願番号または特許協定条約国際出願番号を \_\_\_\_\_ とし、  
(該当する場合) \_\_\_\_\_ に訂正されました。

was filed on \_\_\_\_\_  
as United States Application Number or  
PCT International Application Number  
\_\_\_\_\_ and was amended on  
\_\_\_\_\_ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

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## Japanese Language Declaration

### (日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国以外の国の中少なくとも一ヵ国を指定している特許協力条約365(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

#### Prior Foreign Application(s)

外国での先行出願

11-317598(P)

(Number)  
(番号)

Japan

(Country)  
(国名)

(Number)  
(番号)

(Country)  
(国名)

私は、第35編米国法典119条(e)項に基いて下記の米国特許出願規定に記載された権利をここに主張いたします。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、下記の米国法典第35編120条に基いて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基づく権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以後で本出願書の日本国内または特許協力条約国提出までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、私自身の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じるところに基づく表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

#### Priority Not Claimed

優先権主張なし

9/November/1999

(Day/Month/Year Filed)  
(出願年月日)

(Day/Month/Year Filed)  
(出願年月日)

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)

(出願番号)

(Filing Date)

(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented, Pending, Abandoned)

(現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned)

(現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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### Japanese Language Declaration (日本語宣言書)

委任状 私は下記の発明者として、本出願に関する一切の手続を米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。(弁護士、または代理人の氏名及び登録番号を明記のこと)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

the attorneys and agents associated with U.S.P.T.O. Customer No. 21553, presently being Walter F. Fasse Reg No. 36132 and Wolfgang G. Fasse Reg. No. 21187, having a correspondence address at:

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**021553**

PATENT AND TRADEMARK OFFICE

唯一または第一発明者名		Full name of sole or first inventor	
		Michio OSADA	
発明者の署名	日付	Inventor's signature	Date
		<i>Michio Osada</i> October 26, 2000	
住所	Residence Kyoto-shi, Kyoto, Japan		
国籍	Citizenship Japanese		
私書箱	Post Office Address c/o TOWA CORPORATION, 5, Kamitoba Kamichoshi-cho, Minami-ku, Kyoto-shi, Kyoto, Japan		
第二共同発明者	Full name of second joint inventor, if any		
	Keiji MAEDA		
第二共同発明者	日付	Second inventor's signature	Date
		<i>Keiji Maeda</i> October 26, 2000	
住所	Residence Kyoto-shi, Kyoto, Japan		
国籍	Citizenship Japanese		
私書箱	Post Office Address c/o TOWA CORPORATION, 5, Kamitoba Kamichoshi-cho, Minami-ku, Kyoto-shi, Kyoto, Japan		

(第三以降の共同発明者についても同様に記載し、署名をすること)  
(Supply similar information and signature for third and subsequent joint inventors.)

# Japanese Language Declaration

第3の共同発明者の氏名(該当する場合)		Full name of third joint inventor, if any Yoshihisa KAWAMOTO
同第3共同発明者の署名	日付	Third Inventor's signature <i>Yoshihisa Kawamoto</i> Date October 26, 2000
住所	Residence Kyoto-shi, Kyoto, Japan	
国籍	Citizenship Japanese	
郵便の宛先	Post Office Address c/o TOWA CORPORATION, 5, Kamitoba Kamichoshi-cho, Minami-ku, Kyoto-shi, Kyoto, Japan	
第4の共同発明者の氏名(該当する場合)		Full name of fourth joint inventor, if any Yoshiji SHIMIZU
同第4共同発明者の署名	日付	Fourth Inventor's signature <i>Yoshiji Shimizu</i> Date October 26, 2000
住所	Residence Osaka-shi, Osaka, Japan	
国籍	Citizenship Japanese	
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第5の共同発明者の氏名(該当する場合)		Full name of fifth joint inventor, if any Toshiyuki NISHIMURA
同第5共同発明者の署名	日付	Fifth Inventor's signature <i>Toshiyuki Nishimura</i> Date October 26, 2000
住所	Residence Osaka-shi, Osaka, Japan	
国籍	Citizenship Japanese	
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第6の共同発明者の氏名(該当する場合)		Full name of sixth joint inventor, if any Susumu YAMAHARA
同第6共同発明者の署名	日付	Sixth Inventor's signature <i>Susumu Yamahara</i> Date October 26, 2000
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(第7またはそれ以降の共同発明者に対しても同様な情報および署名を提供すること。)

(Supply similar information and signature for seventh and subsequent joint inventors.)